

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicants : Jeffrey P. Bezos
Maryam Mohit
James Prudente
Colleen McQueen
Gene Pope

Filed : September 11, 1998

For : METHOD AND SYSTEM FOR PLACING A PURCHASE ORDER
VIA A COMMUNICATIONS NETWORK

Docket No. : 120087.402C1

Date : September 11, 1998

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

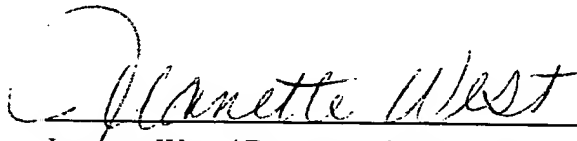
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EM001231840US, on September 11, 1998, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

SEED and BERRY LLP



Jeanette West / Brunetta Ishman / Kennethia Ishman

Enclosures:

Postcard
Form PTO/SB/05
Specification, Claims, Abstract (35 pages)
24 Sheets of Drawings (Figures 1A-19)

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

1

102C1

First Inventor or Application Identifier

Jeffrey P. Bezos et al.

Title

METHOD AND SYSTEM FOR PLACING A PURCHASE
ORDER VIA A COMMUNICATIONS NETWORK

Express Mail Label No.

EM001231840US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

1. ☐ General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Pages] **35**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **24**

4. Oath or Declaration [Total Pages] **1**

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. Incorporation By Reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered to be part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer-Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard

14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,
Status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-In-Part (CIP)

of prior Application No.: **08/928,951 and 09/046,503**

Prior application information: Examiner **Not assigned**

Group / Art Unit **2761 and 2742, respectively**

☐ Claims the benefit of Provisional Application No. _____

CORRESPONDENCE ADDRESS

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Respectfully submitted.

TYPED or PRINTED NAME Maurice J. Pirio

REGISTRATION NO. 33,273

SIGNATURE Maurice J. Pirio

Date September 11, 1998

FILING RECEIPT
CORRECTED



UNITED STATES . PARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/151,617	09/11/98	2756	\$0.00	120087.402C1	24	34	3

MAURICE J PIRIO
SEED AND BERRY
6300 COLUMBIA CENTER
701 FIFTH AVENUE
SEATTLE WA 98104-7092

RECEIVED

OCT 05 1998

SEED & BERRY LLP

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JEFFREY P. BENZOS.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 09/046,503 03/23/98
AND A CIP OF 08/928,951 09/12/97

FOREIGN FILING LICENSE GRANTED 09/28/98

TITLE

METHOD AND SYSTEM FOR PLACING A PURCHASE ORDER VIA A COMMUNICATIONS NETWORK

PRELIMINARY CLASS: 395



MJP
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/151,617	09/11/98	BENZOS	J 120087.40201

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0242/1001

RECEIVED

OCT 05 1998

NOT ASSIGNED

SEED & BERRY LLP

DATE MAILED: 2756

10/01/98

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

ENTERED IN DOCKET

Missing PARTS:
Dec 1, 1998

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 1228⁰⁰.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 790⁰⁰ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 308⁰⁰, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ 308⁰⁰ for 14 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

H. Bell

Customer Service Center
Initial Patent Examination Division (703) 308-1202

FOR THE COMMISSIONER OF PATENTS AND TRADEMARKS